

Trading Account Opening application form -Individuals

Investor's name according to the ID Document

First Name: Father's Name: Grandfather's Name: Family Name: * Title:

Investor's Type:

Individual- I Related Party (Annex 1) -R
 Staff (Annex 2) -S Political Exposed Persons (Annex 3) – P
 Board of Directors Members and Management Committee and Senior Management and Employees and their relatives of the First Degree, and those authorized at the Broker, the PEX, or the CMA.
 (If the Account were of the P category, the approval of the management of the Broker must be first obtained before opening the Account).

Personal Information:

I. D. No.: Trading Account No.: Investor No.:
 Citizenship: Date and Place of Birth Gender: Male Female

Correspondence Address:

Building Name: Building Number: P O Box: Own: Lease:
 Street: Quarter: Town: City:
 Telephone: Mobile: Facsimile: Postal Code:
 Electronic Mail: Country:

Permanent Address: Residential Address:
 Passport Number (for non-Palestinians): Foreign Residence Address (if exist): Resident:
 Yes No

Banks where the Investors has bank accounts locally and abroad that can be verified:

Name of Bank and Branch: Account No.: *IBAN :
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Information of accounts opened on behalf of Minors:

Is the Investor a Minor: Yes No (if the answer is Yes, please provide the name of the Tutor and his Identification No. and fill out Annex 5)
 Tutor's Name: I. D. No:

Information of accounts opened through POAs or Guardianships:

The Account was opened through a Power of Attorney or Guardianship: Yes No (if the answer is Yes, please provide the name of the representative/Guardian and his Identification No. and fill out Annex 5)
 Representative/Guardian's name: I. D. No.:

Investor's Signature	Signature of Tutor/Representative/Guardian	Date / / 20
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- The Broker certifies all pages of this Agreement
- In the event that there are multiple documents for the same individual, priority shall be given to the Palestinian Identification.
- A valid Identification must be supplied to the PEX to open the account.
- All data and fields are considered mandatory except those marked with (*), and the trading account will not be opened if the information is not provided, otherwise please write (not applicable).
- The Agreement and Annexes are part of the Application to open the Individual Trading Account.
- The Broker shall supply certified and legalized copies of the following required documents (True Copy of Original shall be stamped):
- Personal Identification of the Investor / duly legalized and formalized powers of attorney (if the account where opened through POA) / duly sworn and notarized Undertaking whose date shall not exceed one month from the time the representative has been appointed affirming that the representative is still duly appointed and has not been removed and the representative is still alive in the event that appointment has exceed the period of one year or the last sworn undertaking has not exceeded one year / personal identification of the representative (in the event that the account was opened through POA) /Deed of Guardianship if the signatory on the account was the guardian / personal identification for the guardian (if the account was guardianship one) in the event the Investor does not attend in person, his signature should be authenticated by any licensed bank.

شركة عضو في بورصة فلسطين وتخضع لأحكام وقوانين هيئة سوق رأس المال الفلسطينية

غزة، الرمال - برج بنك فلسطين ص.ب. 1213 | هاتف: + (970) 8-2886050 فاكس: + (970) 8-2886055 البريد الإلكتروني: info@alwasata.ps

Ramallah | Tel: +(970)2-2975371 Fax: +(970)2-2975373 رام الله | هاتف: +(970)2-2975371 فاكس: +(970)2-2975373

Khanyonis | Tel: +(970)8-2063305 Fax: +(970)8-2063309 خان يونس | هاتف: +(970)8-2063305 فاكس: +(970)8-2063309

Gaza, Al Remal, Bank of Palestine Building, P.O.Box : 1213 | Tel: +(970)8-2886050 Fax: +(970)8-2886055 Email : info@alwasata.ps

Requirements for KYC:

ID Type:	ID Issue Date:	ID Expiry Date:	ID Issue Country:
Level of Education:	Other Nationalities: <input type="checkbox"/> Yes (specify): <input type="checkbox"/> No	Number of Investor's Family Members:	
Name of Spouse:	Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Widowed	Mother's Name:	
Annual Income	<input type="checkbox"/> Less than 2000 \$	Occupation: <input type="checkbox"/> Business man/woman <input type="checkbox"/> Employee <input type="checkbox"/> Student <input type="checkbox"/> Other (specify):	
	<input type="checkbox"/> Between 2000-5000 \$	Employment: <input type="checkbox"/> Public <input type="checkbox"/> Private	Business Activity:
	<input type="checkbox"/> Between 5000-10,000 \$	Employer:	Job:
	<input type="checkbox"/> Between 10,000 to 25,000 \$	Work email:	Number of years in employment:
	<input type="checkbox"/> Between 25,000-50,000 \$	Work phone:	Work facsimile:
<input type="checkbox"/> More than 50,000 \$	City of Work:	Country of Work:	
Method of notifying the client by the broker: <input type="checkbox"/> Telephone <input type="checkbox"/> Facsimile <input type="checkbox"/> Electronic Mail <input type="checkbox"/> Post <input type="checkbox"/> By Hand			
Level of knowledge of the Investor in the investment in securities: <input type="checkbox"/> None <input type="checkbox"/> Limited <input type="checkbox"/> Good <input type="checkbox"/> Expert			
Purpose of account opening and investment objectives: <input type="checkbox"/> Transfers <input type="checkbox"/> Long Term <input type="checkbox"/> speculation <input type="checkbox"/> Other (specify)			
*Volume of anticipated transactions and period of time:			
Management of Investments in foreign currencies rather than local currency (JOD/USD/NIS): <input type="checkbox"/> Yes(specify): <input type="checkbox"/> No			
Sources for recharging the account: <input type="checkbox"/> Employment Salary		Account Statement Dispatch: <input type="checkbox"/> Monthly	
<input type="checkbox"/> Other (specify):		<input type="checkbox"/> Quarterly	
Potential sources for incoming wires per country:		Potential destinations for outgoing wires per country:	
Has another account been opened with another broker? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If the answer were in the affirmative, has another identification been used? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If the answer were in the affirmative, specify the identification and attach a copy:		Provide no:	
Trading via internet: <input type="checkbox"/> Yes <input type="checkbox"/> No (If Investor wishes, electronic registration is required through established procedures)			
Account balance inquiry via internet: <input type="checkbox"/> Yes <input type="checkbox"/> No (If Investor wishes, electronic registration is required through established procedures)			
SMS services offered by the broker: <input type="checkbox"/> Yes <input type="checkbox"/> No (If Investor wishes, electronic registration is required through established procedures)			

Recognition and Undertaking:

I, the undersigned, attest to the truth and veracity of the foregoing information provided in this document and state that the ownership of the securities is mine/or belongs to my client and I/he is the true and only beneficiary of the all transactions made relative to this account and shall bear liability for cash deposits and incoming wires received into the broker's account ----- I further undertake to disclose all transactions related to my work or my relationship to the broker in accordance with the prevailing Securities Law and its Regulations. I further state that I have read, understood, and signed the Account Opening Form and undertake to abide by its content; I further read all the terms and conditions related to the account opening form and abide by them; and I further undertake to provide the broker with changes to the information and data provided above without any liability to the broker as a result of these changes or if the information were inaccurate.

Investor's Signature	Signature of Tutor/Representative/Guardian	Date: / / 20
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For Broker's Use

Name and Signature of Designated Employee:	Broker Seal	Date: / / 20
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Annexes:

- In the event that the Investor was a board of director's member or person in charge or deemed a related party or owner of more than 10% of the shares in a listed company, please fill out Annex (1).
- In the event that the Investor or any relative of the first degree or those authorized regarding the account, were employed by or authorized before the Broker, PEX, or CMA, please fill out Annex (2).
- In the event the Investor was a political exposed person, please fill out Annex (3).
- In the event the Investor was a US citizen, resident of the USA or subject in any manner to pay taxes in the USA, please fill out Annex (4).
- In the event this Agreement was signed by the tutor or the representative or the guardian, please fill out Annex (5).

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